

# Border basis: a useful tool for constructions in Algebra

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(from joints paper with H. Lombardi and, Brachat, Mourrain)

UCM, Madrid, Spain

HenriFest, Besancon, 15-16<sup>th</sup> October 2011

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$$h_\alpha^z(\underline{x}) := \underline{x}^\alpha - \sum_{\beta \in B} z_{\alpha,\beta} \underline{x}^\beta \equiv 0$$

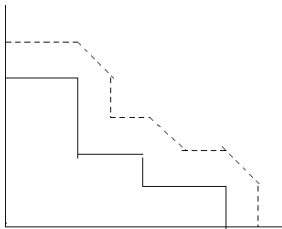
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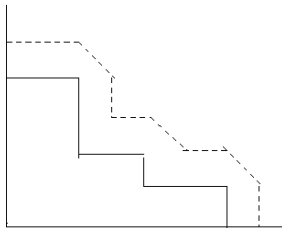
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. The  $h_\alpha^z(\underline{x})$  will be called, **the border relations** of  $\mathcal{A}$  w.r.t.  $B$ .





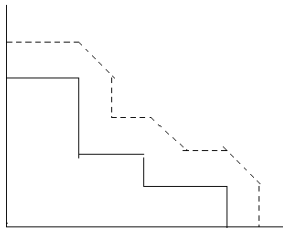
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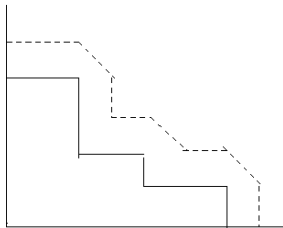


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- The tables of multiplication  $M_{x_i}^z : \langle B \rangle \rightarrow \langle B \rangle$  are constructed using  $M_{x_i}^z(\underline{x}^\beta) = N^z(x_i \underline{x}^\beta)$  for  $\beta \in B$ .

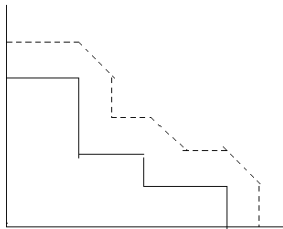


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- Notice that the coefficients of the matrix of  $M_{x_i}^z$  in the basis  $B$  are linear in the coefficients  $z$ 's.

# Border equations

► Conversely, if we are interested in characterizing the coefficients  $\mathbf{z} := (z_{\alpha,\beta})_{\alpha \in \partial B, \beta \in B}$  such that the polynomials  $(h_\alpha^{\mathbf{z}}(\underline{\mathbf{x}}))_{\alpha \in B}$  are the border relations of some free  $A$ -algebra  $\mathcal{A}^{\mathbf{z}} = A[x_1, \dots, x_n]/I$  with basis  $B$ .

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## Theorem

*Let  $B$  be a set of  $\mu$  monomials connected to 1. The polynomials  $h_\alpha^{\mathbf{z}}(\underline{\mathbf{x}})$  are the border relations of some free quotient algebra  $\mathcal{A}^{\mathbf{z}}$  of  $A[x_1, \dots, x_n]$  of basis  $B$  iff*

$$M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0 \quad \text{for} \quad 1 \leq i < j \leq n. \quad (1)$$

$$\mathcal{H}_B := \{ \mathbf{z} = (z_{\alpha,\beta}) \in \mathbb{K}^{\partial B \times B}; M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0 \quad 1 \leq i < j \leq n \}$$

# Perturbing equations



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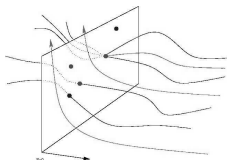
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**"isolated, embedded points, points going to infinite"**

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**Flatness means the monomial basis  $B$  is still a basis of  $\mathcal{A}$  as  $\mathbb{K}[[\varepsilon]]$  module** (assumed  $\mathcal{A}$  is finite  $\mathbb{K}[[\varepsilon]]$ -module)

# Flatness criterion

► More generally, let  $(A, \mathfrak{m}, \mathbb{K})$  be a henselian ring. Start with a deformed situation  $\mathbf{f} \in A[\mathbf{x}]^s$ ,  $\mathbf{f} = \mathbf{f}^0 + \varepsilon \mathbf{f}^1 + \cdots$ ;  $\varepsilon \in \mathfrak{m}$ , denote by  $I = (\mathbf{f})A[\mathbf{x}]$ ,  $I^0 = (\mathbf{f}^0)\mathbb{K}[\mathbf{x}]$  and  $\mathcal{A} := A[\mathbf{x}]/I$  and the residual (initial) situation  $\mathcal{A}^0 = \mathbb{K}[\mathbf{x}]/I^0$ .

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### QUESTION:

Conditions for  $\mathcal{A} = A[\mathbf{x}]/I$  (resp.  $\mathcal{A}_a = S^{-1}(A[\mathbf{x}]/I)$ ) to be a flat (hence free)  $A$  module? What can we say of a border basis of  $\mathcal{A}$  (or  $\mathcal{A}_a$ ), assuming one knows a border basis mod.  $\mathfrak{m}$ ?

# Local Bezout theorem for Henselian rings

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- If we deform a complete intersection the answer is Yes, we can effectively lift a border basis of the residual algebra. (A.-Lombardi 2008)

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- If we deform a complete intersection the answer is **Yes**, we can effectively lift a border basis of the residual algebra. (A.-Lombardi 2008)
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- We prove a *Bezout local theorem* for  $A$  a henselian equicharact. ring : which is elementary ( and constructive for DVR.)



## A Effective charact. of Flatness

- **In the general case  $n \neq s$ :** we get an effective criterion of flatness in terms of the given equations and a border basis of the residual  $\mathbb{K}$ -algebra (A.- Brachat- Mourrain 2008),

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**WE GET FLATNESS, iff** the lifted border relations :

- ▶ i) verify the equations of commutativity, in order to be border basis of  $A[\mathbf{x}]/(h_{\alpha\beta})$ , and
- ▶ ii) generate the ideal of the beginning:  $I S^{-1} A[\mathbf{x}] = \mathcal{H}$

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If  $X = \mathbf{Spec}(A)$ ,  $A$  is a  $\mathbb{K}$ -algebra of finite type, and the homogeneous ring  $S^A = A[x_0, \dots, x_n]$  ( $S^A =: S$  for short)

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- Plücker coordinates of  $\Delta$  as an element of  $\mathbb{P}(\wedge^\mu S_d^*)$  are given by:

$$\Delta_{\beta_1, \dots, \beta_\mu} = \begin{vmatrix} \delta_1(\mathbf{x}^{\beta_1}) & \dots & \delta_1(\mathbf{x}^{\beta_\mu}) \\ \vdots & & \vdots \\ \delta_\mu(\mathbf{x}^{\beta_1}) & \dots & \delta_\mu(\mathbf{x}^{\beta_\mu}) \end{vmatrix}$$

for  $\beta_i \in \mathbb{N}^{n+1}$ ,  $|\beta_i| = d$  and  $\beta_1 < \dots < \beta_\mu$ .

# The $\text{Hilb}^\mu(\mathbb{P}^n)$ inside the $\mathbf{Gr}_{S_d^*}^\mu(X)$

► Algebraic structure of  $\text{Hilb}^\mu(\mathbb{P}^n)$  as projective variety is given by means of the bijection

$$\text{Hilb}^\mu(\mathbb{P}^n) \longleftrightarrow$$

$$W^A = \{(S_d^A/I_d, S_{d+1}^A/I_{d+1}) \in \mathbf{Gr}_{S_d^*}^\mu(X) \times \mathbf{Gr}_{S_{d+1}^*}^\mu(X) \mid S_1^A \cdot I_d = I_{d+1}\}.$$

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► In A. -Brachat- Mourrain (2008), we find an immersion of  $\text{Hilb}^\mu(\mathbb{P}^n)$ , inside the  $\mathbf{Gr}_{S_d^*}^\mu(X)$  with global equations of degree two. In the following we show how to get  $\text{Hilb}^\mu(\mathbb{P}^n)$  inside a product of Grassmannians with equations of degree two.

# Global equations for $\text{Hilb}^\mu(\mathbb{P}^n)$

## ► I) A Determinantal identity.



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$B = (b_1, \dots, b_\mu)$  be a family of homogeneous polynomials of degree  $d$ , then,

$\Delta_B a - \sum_{i=1}^\mu \Delta_{B[b_i|a]} b_i = 0$  in  $\Delta$ , for  $a \in S_d^A$

where  $B[b_i|a] = (b_1, \dots, b_{i-1}, a, b_{i+1}, \dots, b_\mu)$ .

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where  $B[b_i|a] = (b_1, \dots, b_{i-1}, a, b_{i+1}, \dots, b_\mu)$ . Let it be

$$M := \begin{bmatrix} \delta_1(a) & \delta_1(b_1) & \cdots & \delta_1(b_\mu) \\ \vdots & \vdots & \ddots & \vdots \\ \delta_\mu(a) & \delta_\mu(b_1) & \cdots & \delta_\mu(b_\mu) \\ 1 & 1 & \cdots & 1 \end{bmatrix}$$

and develop its determinant along the last row of  $M$ . We get the last equality of rows in the identity below. The others come from developing a determinant with a repeated row.

$$M \begin{bmatrix} \Delta_B \\ \Delta_{B[b_1|a]} \\ \vdots \\ \Delta_{B[b_\mu|a]} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ \det(M) \end{bmatrix}.$$

We conclude that  $\Delta_B a - \sum_{i=1}^\mu \Delta_{B[b_i|a]} b_i = 0$  in  $\Delta$  since all  $\delta_j$  vanishes at this element, and they are a basis of  $\Delta^*$ .

# Conti.

- **Theorem:** Let  $d \geq \mu$  be an integer.  $\text{Hilb}_{\mathbb{P}^n}^\mu(X)$  is the projection on  $\text{Gr}_{S_d}^\mu(X)$  of the variety of  $\text{Gr}_{S_d}^\mu(X) \times \text{Gr}_{S_{d+1}}^\mu(X)$  defined by the equations

$$\Delta_B \Delta'_{B', x_k a} - \sum_{b \in B} \Delta_{B[b|a]} \Delta'_{B', x_k b} = 0,$$

for all families  $B$  (resp.  $B'$ ) of  $\mu$  (resp.  $\mu - 1$ ) monomials of degree  $d$  (resp.  $d + 1$ ), all monomial  $a \in S_d^A$  and for every  $k$  (where  $B', x_k a$  is the family  $(b'_1, \dots, b'_{\mu-1}, x_k a)$ ).

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for all families  $B$  (resp.  $B'$ ) of  $\mu$  (resp.  $\mu - 1$ ) monomials of degree  $d$  (resp.  $d + 1$ ), all monomial  $a \in S_d^A$  and for every  $k$  (where  $B', x_k a$  is the family  $(b'_1, \dots, b'_{\mu-1}, x_k a)$ ).

**Proof.** Let  $(\Delta, \Delta') \in \mathbf{Gr}_{S_d^*}^\mu(X) \times \mathbf{Gr}_{S_{d+1}^*}^\mu(X)$  satisfying the equations above. (We identify  $\Delta \subset S_d^*$  with  $\ker(\Delta) \subset S_d$ .)

► Let us to prove that  $S_1 \cdot \ker \Delta \subset \ker \Delta'$ . Let  $B$  be a basis of  $\Delta$  (so that  $\Delta_B \notin m$  is invertible), and let  $f$  be an element of  $\ker \Delta$ . By linearity, equations above imply that  $\Delta'_{B', x_k} f = 0$  for all  $k = 1, \dots, n$  and all subset  $B'$  of  $\mu - 1$  monomials of degree  $d + 1$  (because  $\Delta_{B[b|f]} = 0$ ). Thus, by determinantal Lemma,  $x_k \cdot f$  belongs to  $\ker \Delta'$  for all  $k = 1, \dots, n$  and  $S_1 \cdot \ker \Delta \subset \ker \Delta'$ .



Henri: HAPPY BIG BIRTHDAY!

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THANK YOU FOR YOUR ATTENTION!